

**On approval of the Rules for submission of reporting by the management company of special economic and industrial zones**

***Unofficial translation***

Order of the acting Minister of Industry and Infrastructural Development of the Republic of Kazakhstan dated July 11, 2019 № 500. Registered in the Ministry of Justice of the Republic of Kazakhstan on July 12, 2019 № 19021.

*Unofficial translation*

      In accordance with subparagraph 11) of Article 11 of the Law of the Republic of Kazakhstan dated April 3, 2019 "On Special Economic and Industrial Zones" and subparagraph 2) of paragraph 3 of Article 16 of the Law of the Republic of Kazakhstan dated March 19, 2010 "On State Statistics" **I HEREBY ORDER**:

      Footnote. The preamble is in the wording of the order of the Minister of Industry and Infrastructural Development of the Republic of Kazakhstan dated 15.05.2020 № 290 (shall be enforced upon expiry of ten calendar days after the day of its first official publication).

      1. To approve the attached Rules for submission of reporting by the management company of special economic and industrial zones.

      2. To recognize as invalid:

      1) the order of the Deputy Prime-Minister of the Republic of Kazakhstan - Minister of Industry and New Technologies of the Republic of Kazakhstan dated September 21, 2011 № 334 "On approval of the Rules and frequency for submission of reporting by the management body of a special economic zone" (registered in the Register of state registration of regulatory legal acts under №7207, published in the newspapers "Kazakhstanskaya pravda" dated October 18, 2011 № 332 (26723), "Egemen Kazakhstan" dated October 18, 2011 № 332 (26723));

      2) the order of the acting Minister for Investment and Development of the Republic of Kazakhstan dated December 31, 2015 № 1292 “On amendments to the order of the Deputy Prime-Minister of the Republic of Kazakhstan - Minister of Industry and New Technologies of the Republic of Kazakhstan dated September 21, 2011 № 334 "On approval of the Rules and frequency for submission of reporting by the management body of a special economic zone" (registered in the Register of state registration of regulatory legal acts № 12980 and published on February 2, 2016 in the information -legal system "Aділет").

      3. The committee of industrial development and industrial safety of the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan, in the manner established by the legislation shall ensure:

      1) state registration of this order in the Ministry of Justice of the Republic of Kazakhstan;

      2) within ten calendar days from the date of state registration of this order, sending it in the Kazakh and Russian languages to the Republican state enterprise on the right of economic management "Institute of Legislation and Legal Information of the Republic of Kazakhstan" of the Ministry of Justice of the Republic of Kazakhstan for official publication and inclusion to the Standard control bank of regulatory legal acts of the Republic of Kazakhstan;

      3) placement of this order on the Internet resource of the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan.

      4. Control over execution of this order shall be assigned to the supervising Vice- Minister of Industry and Infrastructural Development of the Republic of Kazakhstan.

      5. This order shall be enforced upon expiry of ten calendar days after its first official publication.

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| *Minister of Industry* |
| *and Infrastructural Development* |
| *of the Republic of Kazakhstan* | *R. Sklyar* |

|  |  |
| --- | --- |
|  | Approved by the order of the Minister of Industry and Infrastructural Development of the Republic of Kazakhstan dated July 11, 2019 № 500 |

**Rules for the submission of reporting by the management company of special economic and industrial zones**

      Footnote. The Rules as amended by the Acting Minsiter of Industry and Infrastructural Development of the Republic of Kazakhstan dated 04.05.2022 № 249 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

**Chapter 1. General provisions**

      1. These Rules for the submission of reporting by the management company of special economic and industrial zones (hereinafter referred to as the Rules) have been developed in accordance with subparagraph 11) of Article 11 of the Law of the Republic of Kazakhstan "On special economic and industrial zones" and subparagraph 2) of paragraph 3 of Article 16 of the Law of the Republic of Kazakhstan "On State Statistics" and shall determine the procedure for the submission of reporting by the management company of special economic and industrial zones.

      2. In these Rules the following concepts are used:

      1) a special economic zone - a part of the territory of the Republic of Kazakhstan with precisely delineated borders, where a special legal regime of a special economic zone applies for the implementation of priority types of activities;

      2) a management company of a special economic zone - a legal entity, established or determined in accordance with the Laws of the Republic of Kazakhstan Казахстан "On special economic and industrial zones" and "On innovative cluster "Park of innovative technologies" to ensure the functioning of the special economic zone;

      3) a participant in a special economic zone - a legal entity that performs priority types of activities in the territory of a special economic zone and is included in the single register of participants of special economic zones.

      Therewith:

      participants of the special economic zone "Park of innovative technologies" shall be allowed to implement priority types of activities outside the territory of this special economic zone;

      participants of a special economic zone, the boundaries of which fully or partially coincide with the sections of the customs border of the Eurasian Economic Union, may be individual entrepreneurs who carry out priority types of activities in the territory of the said special economic zone;

      4) a unified coordination center – a legal entity that carry out the coordination of activities of special economic and industrial zones.

      5) an industrial zone - a territory, provided with the engineering and communication infrastructure given to private business entities for locating and operating of business facilities, including in the industry, agriculture, tourism industry, transport logistics, waste management, in the manner, prescribed by the legislation of the Republic Kazakhstan;

      6) industrial zone management company - a legal entity, established or determined in accordance with the Law of the Republic of Kazakshtan "On special economic and industrial zones" to ensure the functioning of the industrial zone;

      7) a participant in an industrial zone - an individual entrepreneur, a legal entity engaged in the arrangement and operation of business facilities in the territory of the industrial zone in the manner, established by the legislation of the Republic of Kazakhstan, with which the industrial zone management company has concluded a contract on activities;

      8) an authorized body - the central executive body that carries out state regulation in the field of establishment, functioning and abolition of special economic and industrial zones.

**Chapter 2. Rules for submission of reporting**

      2. The management company of special economic and industrial zones shall submit reporting to the authorized body and the Unified coordination center for special economic and industrial zones in the Republic of Kazakhstan in the state and Russian languages in the EXCEL format within the period no later than April 15 of the year, following the reporting year for special economic zones, on a quarterly basis by the tenth day of the month following the reporting quarter for industrial zones.

      3. Reporting on paper as of the reporting date shall be signed by the head of the management company of special economic and industrial zones, and in his/her absence - by the person performing his/her duties in the form according to Annex to these Rules.

      Reporting on paper shall be carried out in the absence of an electronic document management system in the management company.

      4. Reporting in electronic format shall be submitted using a transport system of guaranteed delivery of information with cryptographic protection means, ensuring the confidentiality of the submitted data.

      5. Completeness and reliability of the data in the reporting, as well as identity of the data presented in electronic format with the data on paper, shall be ensured by the head of the management company of special economic and industrial zones, and in his/her absence - by the acting personand shall be based on official data submitted by the participants of special economic and industrial zones based on the results of their activities.

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|  | Annex  to the Rules for submission  of reporting bu the management company of special economic  and industrial zones |

**Forms for collecting data**

      Submitted to: the Committee for industrial development and industrial safety of the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan and the Unified coordination center for special economic and industrial zones in the Republic of Kazakhstan.

      The form of administrative data is posted on the Internet resource: www.comprom.miid.gov.kz.

      Report on the results of activities of special economic and industrial zones

      Index of administrative data form: 1 – Special economic and industrial zones (hereinafter referred to as SEZ/IZ);

      Periodicity: annually for special economic zones, quarterly for industrial zones;

      Reporting period: as of \_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_ 20\_\_\_;

      Submission deadline:

      - annually, no later than April 15 of the year following the reporting year for special economic zones;

      - quarterly by the tenth day of the month following the reporting quarter for industrial zones.

      Form 1

**General indicators of special economic and industrial zones (SEZ/IZ)**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Name of (SEZ/IZ) (years of operation) | | | | | | | | | | |
| 1№ | Main indicators | First year\* | Second year | Third year | … | current year (quarter 1) | current year (quarter 2) | current year (quarter 3) | current year (quarter 4) | Over the years |
| 11 | Volume of actual investments made by participants in projects (billions of tenge) |  |  |  |  |  |  |  |  |  |
| 22 | Volume of foreign direct investment (billions of tenge)  ​ |  |  |  |  |  |  |  |  |  |
| 33 | Volume of production of products and services (billions of tenge) |  |  |  |  |  |  |  |  |  |
| 44 | Volume of tax deductions (billions of tenge) |  |  |  |  |  |  |  |  |  |
| 55 | Volume of tax preferences received |  |  |  |  |  |  |  |  |  |
| 66 | Volume of tax preferences received |  |  |  |  |  |  |  |  |  |
| 77 | Product export volume (billions tenge) |  |  |  |  |  |  |  |  |  |
| 88 | Permanent jobs (including employees of the management company) |  |  |  |  |  |  |  |  | leave blank |
|  |  | | | |  |  |  |  |  |  |

      \*from the time of SEZ/IZ establishment (indicate each year)

      Form 2

**Projects of special economic and industrial zones**  
**(to be completed separately for existing projects and projects at the stage of implementation)**

      Footnote. Form 2 as amended by the order of the Minister of Indistry and Infrastructural Development of the Republic of Kazakhstan dated 03.05.2023 № 311 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| № | Name of SEZ/IZ | Name of the SEZ participant or person carrying out non-core activities | Project name | Output project | | | Branch | CCЕA code at the level of 2 digits |
| Name of product | HS FEA code at the level of 10 digits | SCIP code at the level of 9 digits |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |  |  |

      Continuation of the table

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Category of business entity (micro, small, medium, large) | Occipied site, hectare | Date of receipt of the land plot according to the state act | Period, for which the land plot was issued under the state act | Project cost, billion tenge | Volume of actual invested funds, billion tenge | | | | | |
| First year | Second year | Third year | … | Current year | For all time |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |

      Continuation of the table

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Foreign participation in the authorized capital of a FEZ participant (if any) | | | | | | | | | | Project capacity | |
| Country | Name of the foreign participant company | Interest, % | Volume of actually invested foreign funds, billion tenge | | | | | |  | | |
| First year | Second year | Third year | … | Current year | For all time | In volume terms | | In value terms, billion tenge |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | | 31 |

      Continuation of the table

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Volume of manufactured products by product range, billion tenge | | | | | | Volume of product exports by product range, billion tenge | | | | | | Export countries |
| First year | Second year | Third year | … | Current year | For all time | First year | Second year | Third year | … | Current year | For all time |  |
| 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 | 41 | 42 | 43 | 44 |

      Continuation of the table

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Permanent jobs, units | Commissioning date | | Tax deductions, billion tenge | | | | | | Current status | Contact information |
| Month | Year | First year | Second year | Third year | … | Current year | For all time |  |  |
| 45 | 46 | 47 | 48 | 49 | 50 | 51 | 52 | 53 | 54 | 55 |

      Form 3

**Infrastructure**

      1) Financing of the SEZ/IZ infrastructure facilities

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| № | Name of SEZ/IZ (object) | Total cost of construction of SEZ/IZ infrastructure, billions of tenge according to feasibility study | Allocated funds, billion tenge | | | | | | | | |
| first year\* | second year | third year | … | current year (quarter 1) | current year (quarter 2) | current year (quarter 3) | current year (quarter 4) | over the years |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

      continuation of the table

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Disbursed funds, billion tenge | Reasons for non-disbursement | Completencess of infrastructure (%) | Additional financing requirement, billion tenge | | | | | | | Notes |
| current year | subsequent year | subsequent year | subsequent year | subsequent year | subsequent year | subsequent year |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 |

      2) General information about the capacities of the SEZ/IZ infrastructure facilities

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Infrastructure facilities | | | Measuring units | SEZ/IZ capacities | | | |
| Existing\* | Consumed\*\* | Vacant\*\*\* | Planned\*\*\*\* |
| Water supply, including the following infrastructure facilities: | | | cubic meters per hour |  |  |  |  |
| 11 |  | |  |  |  |  |
| Sewerage, including the following infrastructure facilities: | | | cubic meters per hour |  |  |  |  |
| 11 |  | |  |  |  |  |
| Electric power supply, including the following infrastructure facilities: | | | Megawatt |  |  |  |  |
| 11 |  | |  |  |  |  |
| Heat supply, including the following infrastructure facilities: | | | Gigacalories per hour |  |  |  |  |
| 1 |  | |  |  |  |  |
| Gas supply, including the following infrastructure facilities: | | | cubic meters per hour |  |  |  |  |
| 1 |  | |  |  |  |  |
| Steam supply, including the following infrastructure facilities: | | | Gigacalories per hour |  |  |  |  |
| 1 |  | |  |  |  |  |
| Telephone communications, including the following infrastructure facilities: | | | lines |  |  |  |  |
| 1 |  | |  |  |  |  |
| Internet, including the following infrastructure facilities: | | | Megabits per second |  |  |  |  |
| 1 |  | |  |  |  |  |
| Solid waste disposal, including the following infrastructure facilities: | | | tons per day |  |  |  |  |
| 1 |  | |  |  |  |  |
| Liquid waste disposal, including the following infrastructure facilities: | | | tons per day |  |  |  |  |
| 1 |  | |  |  |  |  |
| Access railway track, including the following infrastructure facilities: | | | kilometers |  |  |  |  |
| 1 |  | |  |  |  |  |
| Automobile roads, including the following infrastructure facilities: | | | kilometers |  |  |  |  |
| 1 | Internal automobile road | |  |  |  |  |
| 2 | Supply automobile road | |  |  |  |  |
| 3 |  | |  |  |  |  |
| \*\* | | Power consumed by current participants and administrative objects | | | | | |
| \*\*\* | | Capacity of infrastructure facilities available for new SEZ/IZ participants | | | | | |
| \*\*\*\* | | Capacities planned for creation in the territory of SEZ/IZ (expansion of current facilities, construction of new facilities) | | | | | |

      3) Cost of utility services

|  |  |  |  |
| --- | --- | --- | --- |
| № | Utility service | Measuring unit | Cost, tenge |
| 1 | Water supply | Cubic meter |  |
|  | Heat supply | Gigacalory |  |
| 3 | Electric power supply | Kilowatt |  |
| 4 | Sewarage | Cubic meters per hour |  |
| 5 | Gas supply | Cubic meters per hour |  |

      4) Demand in infrastructure facilities

|  |  |  |  |
| --- | --- | --- | --- |
| № | Name of infrastructure facility | Capacities | Demand, billion tenge |
| Facilities of basic infrastructure (essential) | | | |
| 1 |  |  |  |
| .. |  |  |  |
| Facilities of additional infrastructure | | | |
| 1 |  |  |  |
| .. |  |  |  |

      Form 4

**Land plots**

      1) Distribution of territory

|  |  |  |  |
| --- | --- | --- | --- |
|  | | Area (hectare) | Note |
| Total territory of special economic and industrial zones | |  |  |
| Useful area | |  |  |
| Allocated for projects | |  |  |
| Territory of existing projects (total) | |  |  |
| Territory of projects at the implementation stage (total) | |  |  |
| Allocated for infrastructure | |  |  |
| Build | |  |  |
| At the construction stage | |  |  |
| Vacant useful | |  |  |
| Provided with infrastructure | |  |  |
| Not useful territory | |  |  |
| TOTAL | |  |  |
| 2) Useful area allocated to participants   |  |  |  |  | | --- | --- | --- | --- | | № | Name of participant | Area (hectare) | Notes | | By participants with existing production | | | | |  |  |  |  | | TOTAL | |  |  | | By participants at the implementation stage (construction) | | | | |  |  |  |  | | TOTAL | |  |  | | TOTAL | |  |  | | 3) Distribution of other territory   |  |  |  |  | | --- | --- | --- | --- | | № | Name of infrastructure facility | Area occupied (hectare) | Notes | | By facilities at the operational stage | | | | |  |  |  |  | |  | TOTAL: |  |  | | By facilities at the construction stage | | | | |  |  |  |  | |  | TOTAL: |  |  | | | |

      \*Performed by:

      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_

      (signature) surname, name, patronymic (if any)

      Head of the management company or or the person acting in his/her capacity:

      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_

      (signature) surname, name, patronymic (if any)

      Seal (if any)

      \*completed after each form

      Form 5

**Proportion of in-country value**

      Footnote. The annex was added with Form 5 in accordance with the order of the Minister of Industry and Infrastructural Development of the Republic of Kazakhstan dated 03.05.2023 № 311 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| № | Name of SEZ | Name of the SEZ participant or person carrying out non-core activities | Identification number (BIN / IIN) | Acquisition code (0 - goods, 1 - work, 2 - servant) | Name and brief (additional) description of purchased GWS | ССEA code at the level of 4 digits or HS FEA code at the level of 6 digits |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |

      Continuation of the table

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Supplier name | Volume of purchased goods in volume terms | Total amount under the contract, tenge | BIN/IIN of the manufacturer of the goods to which the “ST-KZ” certificate was issued | № of “ST-KZ” certificate |
| 8 | 10 | 11 | 12 | 13 |

      Continuation of the table

|  |  |  |  |
| --- | --- | --- | --- |
| Series of “ST-KZ” certificate | Issue date of “ST-KZ” certificate, (day, month, year) | In-country value in goods, specified in “ST-KZ” certificate, % | In-country value in work (service), % |
| 14 | 15 | 16 | 17 |

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